

February 5, 2018

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**VIA E-MAIL (AG.BREACH@CT.GOV) AND FEDERAL EXPRESS**

Office of the Attorney General  
Attorney General George Jepsen  
55 Elm Street  
Hartford, CT 06106

**Re: Notification of a Potential Breach of Security Involving Computerized Data Containing Personal Information Pursuant to Connecticut General Statutes § 36a-701b(b)(2)**

Dear Attorney General Jepsen:

We represent Jay Zabel & Associates, Ltd. ("Zabel") in connection with an incident that involved the personal information of three (3) Connecticut residents, and provide this notice on behalf of Zabel pursuant to Connecticut Gen. Statutes § 36a-701b(b)(2). This notice will be supplemented, if necessary, with any new significant facts discovered subsequent to its submission. While it is notifying you of this incident, Zabel does not waive any rights or defenses relating to the incident or this notice, or the applicability of Connecticut law on personal jurisdiction.

**NATURE OF THE SECURITY BREACH OR UNAUTHORIZED USE OR ACCESS**

Zabel is a law firm located in Chicago, Illinois. On January 3, 2018, Zabel learned that an email account was compromised in such a way that it is possible someone could have accessed the contents of the account. It discovered this as part of an investigation it undertook after the email account was used to send out phishing emails.

Upon learning of the incident, Zabel terminated the email account credentials. It also retained a leading forensic security firm to investigate the situation.

At this point, Zabel is not aware of any fraud or identity theft to any of its customers or other individuals and does not know if any information in the email accounts was ever reviewed by an unauthorized party. Nevertheless, because the email accounts were compromised, Zabel chose to notify all individuals whose information could have been in the account of the situation. Information in the email account included names, addresses and Social Security numbers.

**NUMBER OF CONNECTICUT RESIDENTS AFFECTED**

It was determined that three Connecticut residents (one family) may have been impacted by this incident. Zabel will be notifying impacted individuals of the situation by letter later today, February 5, 2018. Enclosed is a copy of the notice that is being sent to the impacted individuals.

**STEPS TAKEN RELATING TO THE INCIDENT**

Upon learning of the incident, Zabel promptly remediated the situation and retained a forensic security firm to investigate. It is also providing free identity theft protection services to the impacted individuals through Experian. In addition, to lessen the likelihood of a future incident, Zabel is in the process of implementing a secure portal to which clients can upload sensitive data.

**CONTACT INFORMATION**

Please contact me if you have any questions or if I can provide you with any further information concerning this matter.

Very truly yours,

A handwritten signature in black ink, appearing to read "Michael J. Waters", with a stylized flourish at the end.

Michael J. Waters